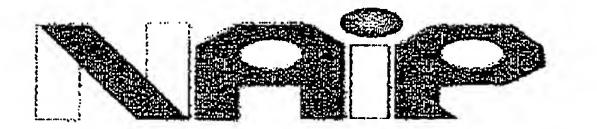
BEST AVAILABLE COPY

RECEIVED
CENTRAL FAX CENTER





North America Intellectual Property Corporation

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

FAX: 806-498-6673

e-mail:winstonhsu@naipo.com

Customer No.: 27765

Fax To: WOLLSCHLAGER, JEFFREY MICHAEL

Tel.: (571) 272-8937

Fax: (571) 273-8300

Art Unit: 1732

From: Winston Hsu, Registration No. 41,526

Serial No.: 10/709,708

Attorney Docket No.: OTMP0078USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 31 pages (including cover page)

Winston Hsu SEP. 0 1 2008

OTMP0078USA0_D1_2

RECEIVED CENTRAL FAX CENTER

SEP 0 1 2006

PTO/\$8/21 (07-08)

Approved for use through 09/30/2005, OMS 0951-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the P	aperwork	Reduction Act of 198	5, no perso	ons are required to respond to	a collection	of inform	ation unless	t displays a vali	d Own Canton to	10000
TRANSMITTAL. FORM				Application Number	10/	10/709,708				
			Filing Date	05/2	05/24/2004					
			First Named Inventor	Chi	Chien-Hung HSU			و ۵۰ کانگار شاید این	, .M	
			Art Unit	173	1732					
(to be used for	all corres.	pondence affer (Pittal	l frjing)	Examiner Name	WO	WOLLSCHLAGER, JEFFREY MICHAEL				
enne mereng (volge-spresider spriv ritte eine trime in er er met 1 e meren.		This Submission	>0	Attorney Docket Numb	er OTI	VP007	78USA		· · · · · ·	
			571.1.47	LOCUPED (C)						
			ENC	LOSURES (Check	c all that ap	(עיקו	After	Allowance Co	mmunicalion to	oTC
Fee Trans	smittal Fi	om		Drawing(s)						
Fi	ee Attach	ied		Ligensing-related Papers		Appeal Communication to Board of Appeals and Interferences				
Amendme	ent/Reply	•		Pelition				al Communic al Notice, Brie		
Af	flor Final			Petition to Convert to a Provisional Application			Propi	ietary Informa	ation	
Af	fiktavits/o	leclaration(s)		Power of Attorney, Revok Change of Corresponder			Statu	s Letter		
Extension				Terminal Disclaimer				Other Enclosure(s) (ple below):		ese Identify
				Request for Refund			20101	•7•		
		ment Request								
✓ Informatio	n Disclo	sure Statement		CD, Number of CD(s)		-				
				Landscape Table o	n CD					
Certified Copy of Priority Remarks Document(s)										
Reply to A										
	eply to M	issing Parts								
L un	nder 37 C	FR 1.52 or 1.53								
		SIGNA	TURE (OF APPLICANT, AT	TORNEY	, OR A	AGENT			
Firm Name	North:	America Intelle	ctual Pro	perty Corporation		والمراجعة والمراجعة والمراجعة				
Signature	Signature Little-Litter.									
Printed name Winston Hsu										
Date SEP. O 1 2006				Reg. No	41	,526				
		C	ERTIFIC	CATE OF TRANSMI	SSION/M	AILIN	G	· · · · · · · · · · · · · · · · · · ·		-N-1
sufficient postage	as first o	rrespondence is t class mail in an en	eing facs	imile transmitted to the U ddressed to: Commission	SPTO or di er for Paler	posited ts, P.O.	with the U Box 1450,	nited States F Alexandria, \	Postal Service v /A 22313-1450	with Fort
the date shown below. Signature							<u></u>			
Typed or printed name Zoc Fluang							Date	SEP. O	1 2006	_
Ahen or hingen i	*EX.11C	- Thung						<u> </u>		Married World

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form end/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/S9/17 (07-00)
Approved for use through 01/31/2007, OMB 0551-0032

Under the Paper	work Reduction Act of 19	95 no persons are	required to re	U.S. Paten spond to a collecti	it and Traden ion of informs	nank Office: U.S. DEI stion.unless it.displet	PARTMENT OF COMMERCE to a valid OMB control		
Fees pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2005				Complete if Known					
				Application Nur	nber 10	10/709,708			
				Filing Date	05	05/24/2004			
				First Named In	ventor CI	Chien-Hung HSU			
				Examiner Name WOLLSCHLAGER, JEFFREY			JEFFREY MICHAEI		
Applicant c	laims small entity statu	s. See 37 CFR 1	1.27	Art Unit	17	32	•		
TOTAL AMOUN	T OF PAYMENT (\$) 0.00		Altorney Docke	No. O	TMP0078USA			
METHOD OF	PAYMENT (check a	ill that apply)							
Check	Credit Card	Money Order	None	Other (please identi	fy):			
	CCOLINE Deposit Accor	•			"		ectual Property Corporation		
	bove-identified deposit			<u> </u>					
	arge fee(s) indicated t			-			ept for the filling fee		
زرا WARNING: Inform	narge any additional fe der 37 CFR 1.16 and t ation on this form may b therization on PTO-203	1,17 secome public. Cred		<u> </u>	t any overp		ńde credit ċ≘rd		
FEE CALCUL									
170,000	ING, SEARCH, ANI FILING	EXAMINATION FEES Small Entity Fee (\$)	SEARC	H FEES Small Entity Fee (5)	EXAMIN	IATION FEES Small Entity Fee (\$)	Fees Paid (\$)		
Utility	300	150	500	250	200	100			
Design	200	100	100	50	130	65	**************************************		
Plan	200	100	300	150	160	80			
Reissue	300	150	500	250	600	300			
Provisional	200	100	0	0	0	0			
2. EXCESS CLAIM FEES Fee Description Each claim over 20 (including Reissucs)							Small Entity Fee (\$) 25		
Each indep	endent claim over 3		sucs)			200	100		
	pendent claims		- 6	had one		360	180 pendent Claims		
Total Claims	<u>Extra Clai</u> 20 or HP =	<u>ms Fee (\$)</u> x	- 1991 	Paid (\$)		Fee (\$)	Fee Paid (\$)		
HP = highest nu Indep. Claims	mber of total claims paid t Extra Ctal or HP =	or, if greater than 20.		'aid (\$)					
	nber of independent claim	e paid for, if greater t	han 3.						
	ION SIZE FEE ation and drawings	meanast 100 chai	ate Afmana	v (2021) vetimer a	lectronica	lly filed sequenc	es or computet		
listings m	ation and the wings ider 37 CFR 1.52(c))), the application	n size fee	due is \$2 <i>50 (</i> \$	125 for sn	nall entity) for e	ach additional 50		
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof. Fee (\$1 Fee Paid (\$1 - 100 = 1									
4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount)									
Other (e.g.,	late filing surcharg	e):	* premarit m	10 1116 MOST AND A		gan gapga ara s p *-maker a sema a pasa a			
SUBMITTED BY									
Signature	Esteen days &	toren		egistration No.	41,526	Telephone	9 3027291562		
Name (Print/Type)	<u> </u>			ttorney/Agent)		Date SER	P. O 1 2806		
	**************************************						<u> </u>		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is extinizted to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Sheet

PTO/SB/08a (07-08)

Approved for use through 09/30/2006, OMB 0651-0031 U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

OTMP0078USA

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control cumber. Complete if Known Substitute for form 1449A/PTO Application Number 10/709,708 Filing Date 05/24/2004 INFORMATION DISCLOSURE First Named inventor Chien-Hung HSU STATEMENT BY APPLICANT Art Unit 1732 Examiner Name (Use as many sheets as necessary) WOLLSCHLAGER, JEFFREY MICHAEL

of

Attorney Docket Number

U. S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.*	Document Number Number-Kind Code ^{2 of lockung}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
, dir (t. r.)	1	US- 6,391,231 B1	05-21-2002	Evans, et al.	all			
	, .m., 	U\$-	De como e de la circa de como de la como de					
		US-		, o lel g o o o olone, a o o olone, a o olone del o olone del o olone del ol				
		US-			marka ang mangangan ang marka sa sa marka sa			
		US-			angarang majang agamata a majang aya mamatang anda dadan dadan da sa a 🎫 (1996 a angan sa 1996).			
		US-	, , , , , , , , , , , , , , , , , , ,		man parage and a substantial data of the substantial and the subst			
		US-						
		US-	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Managamana ang managa ang managana ang ang managana ang managana ang managana ang managana ang managana ang ma				
		US-) > 10 0 0) saldata kalka talka mika mika kalka ka k	A to the state of			
	-	US-						
		U6-						
***** * *** * * *************	o de la Tra Name (de Name (de	US-	.,,,		maniferiament () . I statistically and worth at 11 mags 21,5 a.c.s. = mass ()			
		US-		and the state of t	annan aminimistan massa ann ag sta sama ma airigh seo dh'airigh ag ghailtheir ann g-airigh ann ann ann an airi			
		U5-		- 31000 0 0 100mm - 1 ye 10 10 000 to	а _{рия} с 17 ₁₃ с догом на в поставо на правителници.			
),		US-	1944	go amme to a lagge namental mention and the control of the control				
B-4-6-+-+ 6-14		US- US-		recover a partie of the service country of the bad of the bad of the original of the bad				
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					an ann an an 12 1, a chairt agus agus chair g agus agus agus agus agus agus ann ann ann ann ann ann ann ann an			
	-a,	US-	.)	A STANDARD CHARLES TO SEE THE STANDARD OF THE				
		U\$-	1					

ÉORRIGN PATENT DOCUMENTS								
Examiner Cito (nitials* No.1	Foreign Patent Document	Publication Date	Name of Palentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Fibures Appear				
	Coursey Code ² Number 17(Ind Code ² (V known)	MM-DD-YYYY						
	2	CN 1332669A	05-21-2002	Evans; Russell E., Balch; Thomas, Vacantaki; Nancy L. S.	ali	_		
		Date Marriage mail a read of a marriage of a read of a second marriage		and the second s				
				ang sang a sa magayay aya sa a hadisina PPP y ANN Asimphysis PS may be highwafi ma da Ang PS 200	Nagara (n. 1815) (1. He Heliado), anne de 111 (1. 111) mentron			
		a de la compansión de l		and a second second to the second) C 100 T C C CO. C COME MINIO MICHIGAN T C M M N N T M M N N M M N N M M	ļ		
40** 11***				**********		ļ		

	*****		·	
Ежитіпе:		Date		
Signature		Considered		•

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw fine through citation if not in conformance and not considered, include copy of this form with next communication to applicant, it Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.usrib.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the series number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. 8 Applicant is to place a check mark here if English language Translation is attached.

This coffection of information is required by 37 CFR 1.87 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the inclividual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

RECEIVED CENTRAL FAX CENTER

SEP 0 1 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Examiner: WOLLSCHLAGER, JEFFREY MICHAEL

Applicants: Chien-Hung Hsu;

Wen-Yung Huang;

Ruei-Jen Wang;

Yo-Chen Chen;

10 Chia-Hung Wu

Filing Date: 05/24/2004 Art Unit: 1732

Appl. No.: 10/709,708 Docket No.: OTMP0078USA

Conf. No.: 3707

15 Title: METHOD FOR FORMING MICROSTRUCTURE OPTICAL ELEMENTS

To: The Commissioner for Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

20

Subject: Information disclosure statement Under 37C.F.R.

§1.56.

Dear Sir:

25 This is an Information Disclosure Statement in

accordance with the duty to disclose information material to patentability under 37 CFR \$1.56. The applicants wish to make of record the document listed on the accompanying form PTO/SB/08.

5

10

Since the IDS is filed before the mailing date of a first Office action on the merits, consideration of the information disclosure statement is hereby requested according to 37C.F.R.\$1.97(b). The Chinese cited art contained in the information disclosure statement was mailed from the State Intellectual Property Office of China on June 2, 2006. Applicants sincerely hope that the examiner can consider the items contained in the information disclosure statement.

15

According to the requirement set forth in 37 CFR \$1.98 and MPEP 609, applicants are submitting a copy of the cited Chinese Publication #CN1332669A (published on January 23, 2002). The Chinese art has a corresponding US patent #US6,391,231 B1 (published on May 21, 2002).

Sincerely yours,

25

20

Wententan

Date: SEP. O 1 2806

Winston Hsu, Patent Agent No. 41,526

5 P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562
Facsimile: 806-498-6673

e-mail: winstonhsu@naipo.com

10

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:	
☐ BLACK BORDERS	
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES	
☐ FADED TEXT OR DRAWING	
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING	
☐ SKEWED/SLANTED IMAGES	
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS	
GRAY SCALE DOCUMENTS	
LINES OR MARKS ON ORIGINAL DOCUMENT	
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY	

IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.